

FAIS Disclosure Document

GENERAL DISCLOSURE NOTICE

Selekane Asset Consultants is an authorised Financial Services Provider in terms of the Financial Advisory and Intermediaries Services Act (“FAIS Act”). As part of Selekane’s commitment to render financial services with honesty, fairness, due care and diligence and to meet the requirements of certain disclosures in terms of FAIS, the following general disclosures are provided for your information and review.

- Selekane Asset Consultants warrants that any Selekane Asset Consultants Representative (as defined in the FAIS Act) who provide financial services to clients on any Financial Product complies with the fit and proper requirements in terms of the FAIS Act.
- Selekane Asset Consultants will advise its clients of all instances where financial advice will be rendered by a registered representative under supervision of another registered representative.

FINANCIAL SERVICE PROVIDERS CONTACT DETAILS

Selekane Asset Consultants (Pty) Ltd: Registration Number 2006/025882/07
Financial Services Board (FSB)/Financial Services Provider (FSP) Licence Number: 29848

Office Physical Address:

Ground Floor, Lansdown House
Hampton Park Office Park
20 Georgian Crescent East
Bryanston
2152

Postal Address:

PostNet Suite 344
Private Bag x75
Bryanston
2021

Telephone number:

(011) 514 0018

Fax Number:

(011) 463 4659



LICENSE INFORMATION

FAIS Category	Category	Sub Category	Category Description	Advice	Intermediary
	1	8	Securities and Instruments: Shares	X	X
	1	9	Securities and Instruments: Money market instruments	X	X
	1	10	Securities and Instruments: Debentures and securitised debt	X	X
	1	11	Securities and Instruments: Warrants, certificates and other instruments	X	X
	1	12	Securities and Instruments: Bonds	X	X
	1	13	Securities and Instruments: Derivative instruments	X	X
	1	14	Participatory interests in Collective Investment Schemes	X	X
	1	17	Deposits Defined in the Banks Act - exceeding 12 months	X	X
	1	18	Deposits defined in the Banks act - 12 months or less	X	X
	1	24	Structured Deposits	X	X
	1	26	Participatory interest in Hedge Fund	X	X

KEY INDIVIDUALS' DETAILS

The following persons have been registered as Key Individuals at Sele Kane Asset Consultants:

Mxolisi Mbekwa

Lindi Moabi

REPRESENTATIVES

The following persons have been registered as Representatives at Sele Kane Asset Consultants, a service contract or other mandatory agreement to represent the FSP exists, the FSP accepts



responsibility for the activities performed within the scope of such contract or mandate. The financial products in terms of which the representatives are authorised to provide advice and /or intermediary services are listed as above:

Mxolisi Mbekwa
Zingaphi Xuza

Lindi Moabi
Phumla Radebe

Lelethu Ngwena

Under supervision

Mandla Ngcobo

Thabo Molefe

Wonga Mguda

CONCISE LEGAL AND CONTRACTUAL STATUS OF PROVIDER AS RELATED TO THE PRODUCT SUPPLIER

Selekane Asset Consultants do not have any written agreements with product providers. Selekane acts in the capacity of an asset consultant only. From an accreditation perspective, Selekane act as asset consultants and do not market and sell products on behalf of product providers

CONFLICT OF INTEREST

We have considered the conflict-of-interest provisions in terms of the FAIS Act 37 2002 and have not identified any actual or potential conflicts of interest, either ownership interest, financial interest, third party relationships, associates or distribution channels as defines

We adopt a value-based approach where the spirit of the legislation is embraced.

This is reviewed at least once a year in consultation with an external independent compliance practitioner and reported to the FSCA.

A conflict-of-interest management policy is available to clients on request.

Advisors may receive an immaterial financial interest which is limited by law. All gifts are kept in a register and is available on request from the advisor.

DECLARATION

- Selekane Asset Consultants is licenced for Category 1 (rendering of Financial Services). A copy of our FSP licence certificate and the conditions of the licence are available on request from your representative or the FSP.
- Selekane Asset Consultants duties and obligations will be specified in the client's service agreement/mandate or where agreed in writing by the parties.
- Without in any way limiting and subject to the other provisions of the Services Agreement/Mandate. Selekane Asset Consultants accepts responsibility for the lawful actions of our representative (as defined in the FAIS Act) in rendering financial services within the course and scope of their employment or mandate.
- Selekane Asset Consultants have taken reasonable steps to ensure that the representative complies with both the internal Code of Conduct and the Code/s as laid down by the Financial Sector Conduct Authority (FSCA) in terms of FAIS and all other applicable legislation.



- Where providing advice in relation to financial products, Sele Kane Asset Consultants is required to analyse its client's requirements and needs. The financial advice provided by Sele Kane Asset Consultants will be based on the information provided by the client. Sele Kane Asset Consultants will not be held liable for any financial advice that was provided in respect of incorrect, inaccurate or incomplete information provided by client.
- Sele Kane Asset Consultants may keep records of verbal and/or written communication with its clients as pertains to the financial advice rendered to that client.
- Sele Kane Asset Consultants has secured Professional indemnity to the value of R10 000 000.00.
- Where required in terms of the Financial Intelligence Centre Act (FICA), Sele Kane Asset Consultants shall be obliged to record and verify client information in the manner prescribed in FICA. Sele Kane Asset Consultants may also be required to report unusual and suspicious financial activities where so obligated by FICA.
- From an accreditation perspective, Sele Kane act as asset consultants and do not market and sell products on behalf of product providers.
- In terms of shareholding, neither Sele Kane Asset Consultants, nor I have a shareholding of more than 10% in any registered FSP.
- The Company has not earned 30% of its total remuneration from any product provider during the last calendar year. Sele Kane Asset Consultants act only in the capacity of asset consulting services.
- Sele Kane Asset Consultants receive fees by charging for services rendered.

CONTACT DETAILS

KEY INDIVIDUAL	MXOLISI MBEKWA
POSTAL ADDRESS	P.O. BOX 522118 SAXONWOLD 2132
PHYSICAL ADDRESS	GROUND FLOOR LANSDOWN HOUSE HAMPTON PARK 20 GEORGIAN CRESCENT BRYANSTON
TELEPHONE	011514008

FAIS OMBUD DETAILS

FAIS Ombud
Menlyn Central Office Building
125 Dallas Avenue
Waterkloof Glen
Pretoria



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0063
Customer Contact Division:
0860FAISOM (0860324766)
Telephone: +27 762 5000
Facsimile: + 27 12 348 3447
E-mail address: info@faisombud.co.za
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COMPLIANCE OFFICER

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ACKNOWLEDGEMENT OF RECEIPT OF DISCLOSURE DOCUMENT

Signed at _____ on this the _____ day of _____ 20.....

Name of Client _____

Duly authorised signature of client _____